

Entity Status Confirmation under the Cayman Islands Economic Substance Act

Instructions: Complete this form for a Cayman Islands [Domestic Company](#) engaged in one or more of the following [Relevant Activities](#):

- [Distribution and Service Centre](#)
- [Financing and Leasing](#)
- [Headquarters](#)
- [Pure Equity Holding Company](#)
- [Intellectual Property](#)
- [Shipping](#)

Complete this form using Adobe Acrobat. The form does not need to be signed. Send the completed form to regulation@campbellslegal.com

If any of the information set out below changes, you should notify Campbells within 30 days of the change by email to regulation@campbellslegal.com

Entity Name (the “Named Entity”):	
Named Entity Reference Number (to be added by Campbells):	
Named Entity Type¹:	<p>Company</p> <p>Partnership</p> <p>LLC</p>
Financial Year End (dd/mm):	
The Named Entity is part of an MNE Group: The term “MNE Group” means any Group that includes two or more enterprises for which the tax residence is in different jurisdictions or includes an enterprise that is resident for tax purposes in one jurisdiction and is subject to tax with respect to the business carried out through a permanent establishment in another jurisdiction.	<p>Yes¹</p> <p>No</p>
Domestic Company: (check one below):	

Carrying on business in the Cayman Islands and which complies with section 3(a) of the Trade and Business Licensing Act.

Carrying on business in the Cayman Islands and which complies with section 4(1) of the Local Companies (Control) Act.

A company incorporated in the Cayman Islands that is limited by guarantee or is a not-for-profit association.

N/A

Relevant Activity³ Confirmation

Select the applicable Relevant Activity below and check the applicable confirmations.

Contact your Campbells attorney if you have questions about the Relevant Activity Confirmation.

Relevant Activity Confirmation

Distribution and Service Centre	Check to Confirm
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The Named Entity is engaged in the business of either or both of the following:

- (i) purchasing from an entity in the same Group² (i) component parts or materials for goods; or (ii) goods ready for sale, and reselling such component parts, materials or goods outside the Islands; and/or
- (ii) providing services to an entity in the same Group in connection with the business outside the Islands,

But does not include any activity included in any other Relevant Activity except holding company business. For the avoidance of doubt, (b) above only falls within the definition in the specific circumstances where the relevant entity is operating as a service centre for entities in the same Group.

Yes

If yes, Trade and Business Licence	Yes	Number:	No
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If no, review [Relevant Activity](#) on website. If not engaged in Relevant Activity, complete [Form I](#)

Relevant Activity Confirmation

Financing and Leasing	Check to Confirm
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The Named Entity offers credit or financing for any kind of consideration for profit making purposes.

Yes

If yes, Trade and Business Licence	Yes	Number:	No
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If no, review [Relevant Activity](#) on website. If not engaged in Relevant Activity, complete [Form I](#)

Relevant Activity Confirmation.

Headquarters Business	Check to Confirm
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The Named Entity provides one or more of the following services to an entity in the same Group: (a) the provision of senior management; (b) the assumption or control of material risk for activities carried out by any of the entities in the Group; and/or (c) the provision of substantive advice in connection with the assumption or control of risk referred to in (b).

This does not include banking business, financing and leasing business, fund management business, intellectual property business, holding company business or insurance business.

Yes

If yes, Trade and Business Licence	Yes	Number:	No
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If no, review Relevant Activity on website. If not engaged in Relevant Activity, complete Form I	
Relevant Activity Confirmation.	
Pure Equity Holding Company (Holding Company Business)	Check to Confirm
All of the following are confirmed:	
<ul style="list-style-type: none"> The Named Entity's only assets (except cash) are equity participations in other entities. The Named Entity's only income (except Interest on cash) is dividends and capital gains. The Named Entity holds no other assets and earns no other income. 	
Yes	
If no, review Relevant Activity on website. If not engaged in Relevant Activity, complete Form I	
Relevant Activity Confirmation.	
Intellectual Property	Check to Confirm
The Named Entity is the legal or economic owner of the IP asset.	
The Named Entity holds an IP license which grants the Named Entity full and exclusive rights to an IP asset.	
Yes	
If yes, Trade and Business Licence	Yes Number: No
If no, review Relevant Activity on website. If not engaged in Relevant Activity, complete Form I	
Relevant Activity Confirmation.	
Shipping	Check to Confirm
The Named Entity is involved in at least one of the following activities involving the operation of a ship anywhere in the world other than the territorial waters of the Islands or between the islands:	
<ul style="list-style-type: none"> (a) the business of transporting, by sea, passengers or animals, goods or mail for a charge; (b) the renting or chartering of ships for the purpose described in paragraph (a); (c) the sale of travel tickets and ancillary ticket related services connected with the operation of a ship; (d) the use, maintenance or rental of containers, including trailers and other vehicles or equipment for the transport of containers, used for the transport of anything by sea; or (e) the functioning as a private seafarer recruitment and placement service. 	
Yes	
If yes, Trade and Business Licence	Yes Number: No
If no, review Relevant Activity on website. If not engaged in Relevant Activity, complete Form I	
Please update your Campbells contact details: No Change	
Billing Contact at the Named Entity:	Same as Principal Contact
Name:	
Role:	
Phone:	
Email:	
Billing Contact (Other) at the Named Entity:	
Name:	
Role:	
Phone:	
Email:	

Principal Contact at the Named Entity:	
Legal Matters	Regulatory Matters
Name:	
Role:	
Phone:	
Email:	
Authorised Contact 2 at the Named Entity:	
Legal Matters	Regulatory Matters
Name:	
Role:	
Phone:	
Email:	
Completed by (Type name of person completing this form. A signed copy is NOT required. Do not scan.):	
Office held (if no office, enter Authorised Signatory):	
Email:	
Date (yyyymmdd):	

Notes:

1. All entities formed or registered in the Cayman Islands, except trusts, are required to notify the Registrar of Companies whether they are in scope or out of scope for [Economic Substance](#) reporting. This notification must be confirmed annually.
2. Includes two or more enterprises for which the tax residence is in different jurisdictions or includes an enterprise that is resident for tax purposes in one jurisdiction and is subject to tax with respect to the business carried out through a permanent establishment in another jurisdiction. If the Named Entity is tax resident outside the Cayman Islands, complete ES Notification Form D.
3. If more than one Relevant Activity is applicable, please complete a separate ES Notification Form C for each Relevant Activity.